



PATENT

Case Docket No. MNFRAME.041A  
Date: February 23, 1998

RECEIPT  
# 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Liu et al.  
Appl. No. : 08/942,384  
Filed : October 1, 1997  
For : SYSTEM FOR AUTOMATICALLY  
REPORTING A SYSTEM FAILURE IN A  
SERVER  
Examiner : Unknown  
Group Art Unit : 2785

I hereby certify that this correspondence and all marked attachments  
are being deposited with the United States Postal Service as first  
class mail in an envelope addressed to: Assistant Commissioner for  
Patents, Washington, D.C. 20231, on

February 23, 1998

*Richard C. Kim*  
(Date)  
Richard C. Kim, Reg. No. 40,046

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

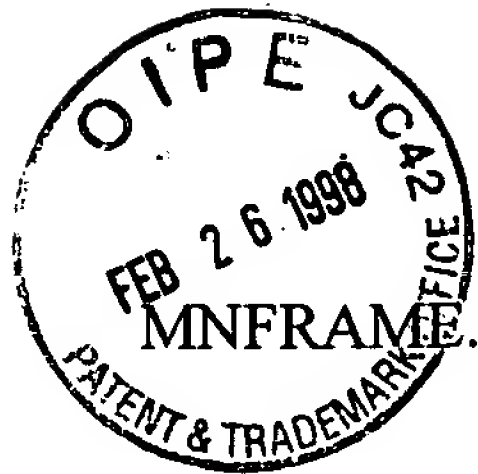
Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Request for Correction of Filing Receipt.
- (X) A copy of the filing receipt.
- (X) A copy of the Declaration by Inventors.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410. A duplicate copy of this sheet is enclosed.
- (X) Return prepaid postcard.

RECEIVED  
APR 22 98  
GROUP 2600

*Richard C. Kim*  
Richard C. Kim  
Registration No. 40,046  
Attorney of Record



MNFRAME.041A

PATENT

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RECEIVED  
APR 22 98  
GROUP 2600

REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants respectfully request to have the official filing receipt for the subject application corrected. The third inventor was omitted. After the listed second inventor, the filing receipt should read Karl S. Johnson, Palo Alto, CA. Please see the attached Declaration by Inventors which has the signature of all three inventors. A second error was found, under section entitled "Continuing Data as Claimed by Applicant." The filing date of the second listed provisional should read "05/13/97" rather than "05/14/97." We have attached a copy of the filing receipt with the necessary corrections to be made indicated in red ink. If any inquiries should arise, please contact the undersigned.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2-23-98

By: Richard C. Kim  
Richard C. Kim  
Registration No. 40,046  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(619) 235-8550

RCK-1847/021998

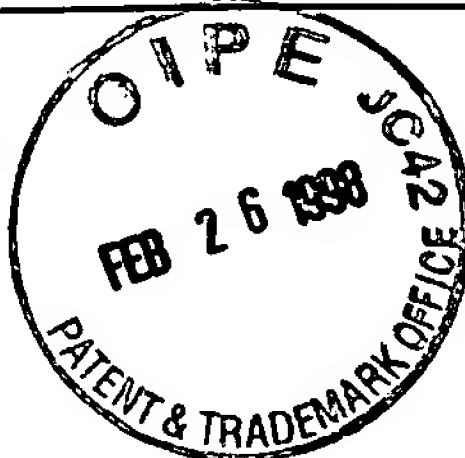
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/942,384	10/01/97	2785	\$2,020.00	MNFRAAME.041	14	61	7

KNOBBE MARTENS OLSON & BEAR  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH CA 92660-8016



**COPY**

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JI-HWAN (GARY) LIU, CUPERTINO, CA; KEN NGUYEN, SAN JOSE, CA.; KARL S. JOHNSON, PALO ALTO, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

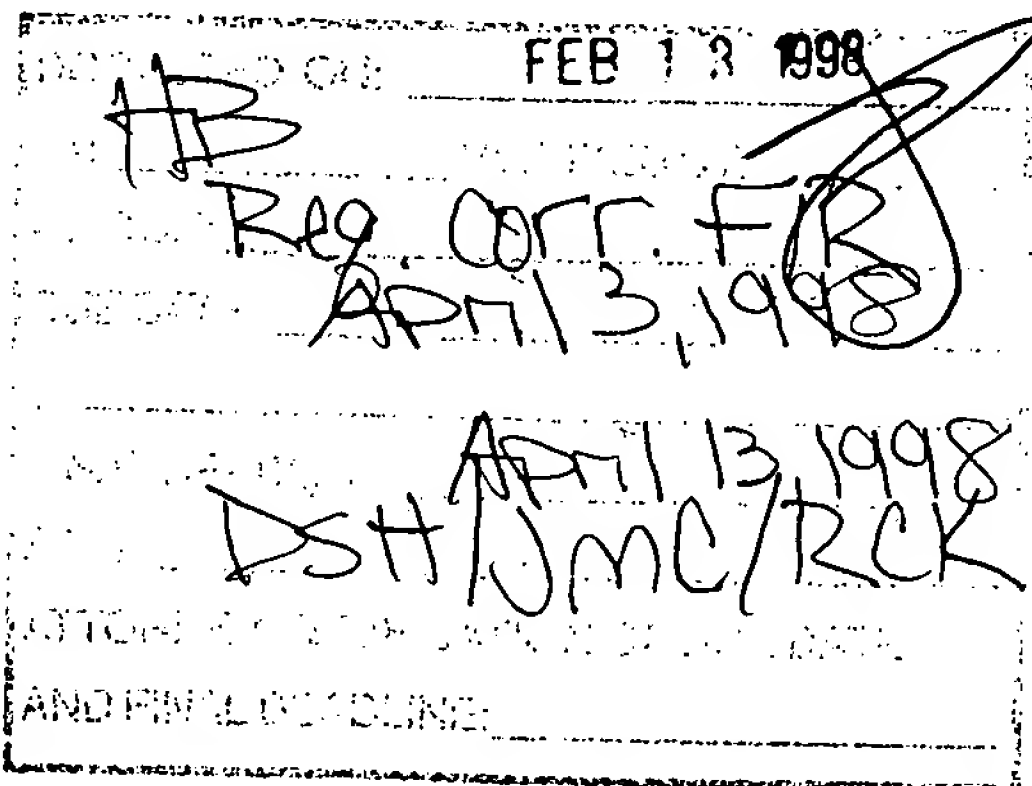
PROVISIONAL APPLICATION NO. 60/046,397 05/13/97  
PROVISIONAL APPLICATION NO. 60/047,016 ~~05/14/97~~ 05/13/97  
PROVISIONAL APPLICATION NO. 60/046,416 05/13/97

FOREIGN FILING LICENSE GRANTED 01/28/98

TITLE

SYSTEM FOR AUTOMATICALLY REPORTING A SYSTEM FAILURE IN SERVER

PRELIMINARY CLASS: 395



**COPY**

Y900

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

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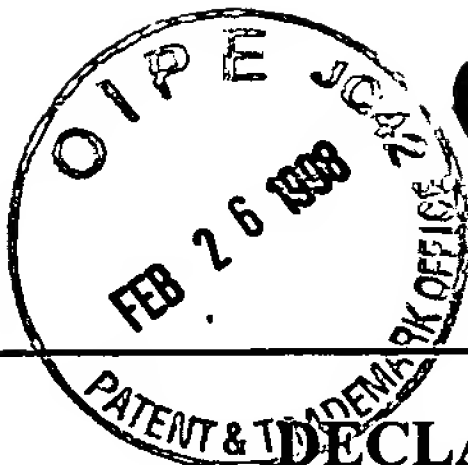
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Y900

**DECLARATION - USA PATENT APPLICATION****COPY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled SYSTEM FOR AUTOMATICALLY REPORTING A SYSTEM FAILURE IN A SERVER; the specification of which was filed on October 1, 1997 as Application Serial No. 08/942,384.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Codes § 119(e) of any United States provisional application(s) listed below.

Application No.: 60/046,397

Filing Date: May 13, 1997

Application No.: 60/047,016

Filing Date: May 13, 1997

Application No.: 60/046,416

Filing Date: May 13, 1997

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Ji-hwan (Gary) Liu

Inventor's signature Ji-hwan Liu

Date 12/03/97

Residence: Cupertino, California

Citizenship: United States of America

Post Office Address: 1541 Aster Lane, Cupertino, California 95014

**COPY**

Full name of second inventor: **Ken Nguyen**

Inventor's signature

Date

12-06-97

12-06-97

Residence: **San Jose, California**

Citizenship: **United States of America**

Post Office Address: **1160 Formosa Ridge Drive, San Jose, California 95127**

COPY

Full name of third inventor: **Karl<sup>U</sup> Johnson**

Inventor's signature

Date

FEBRUARY 10, 1998

Residence: **Palo Alto, California**

Citizenship: **United States of America**

Post Office Address: **544 Tennyson Avenue, Palo Alto, California 94301**

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